



**FX TRADING (CORPORATE) MEMBERSHIP
APPLICATION FORM**

NOVEMBER 2018

**FMDQ OTC SECURITIES EXCHANGE
FX TRADING CORPORATES APPLICATION FORM**

APPLICANT INFORMATION

Name of Body Corporate:	
RC Number:	
Registered Office Address:	
Date of Incorporation:	
Place of Incorporation:	
Nature of Business:	
Company Telephone Numbers:	
Company Email Address:	
Corporate Website Address:	

KEY OFFICERS

MANAGING DIRECTOR/CHIEF EXECUTIVE OFFICER

Name:

Email:

Telephone/Mobile No:

TREASURER/CHIEF FINANCIAL OFFICER

Name:

Email:

Telephone/Mobile No:

CHIEF COMPLIANCE OFFICER

Name:

Email:

Telephone/Mobile No:

FMDQ REQUIREMENTS - TICK IF PROVIDED

Company Profile

- History & Company Overview
- Details of Business Services
- Profiles of Board of Directors
- Profiles of Executive Management Staff

Certificate of Incorporation

Memorandum and Articles of Association or other equivalent documentation

Particulars of Directors or other equivalent documentation (e.g. CAC Form 7 for Nigerian Companies)	
Particulars of Shareholders or other equivalent documentation (e.g. CAC Form 2 for Nigerian Private Limited Companies)	
Most recent one (1) year audited financial statements, not exceeding 18 months from the previous financial year end	
List of Authorised Representatives ¹ (stating their designations). The following are the minimum required Authorised Representatives to be provided: <ul style="list-style-type: none"> ▪ Managing Director/CEO ▪ Treasurer/Chief Financial Officer (as applicable) ▪ Chief Compliance Officer ▪ Primary Contact(s) 	
Evidence of Payment of Onboarding Fee	
<p>Physical copies MUST be sent to:</p> <p>Member Regulation Group FMDQ OTC Securities Exchange Exchange Place 35, Idowu Taylor Street Victoria Island Lagos Nigeria</p> <p>Attention: Member Regulation Group</p> <p>Email submission of documents to be made to meg@fmdgotc.com</p>	
APPLICANT DECLARATION	
<p><i>By submitting this application to become FX Trading Corporates of FMDQ OTC Securities Exchange:</i></p> <p><i>I/We declare that the information provided is complete and accurate and I/we agree, if approved, to comply with and be bound by all FMDQ Rules, Guidelines and such other regulation as may be in force from time to time.</i></p> <p><i>I/We shall notify FMDQ of any additional information which is relevant to the application and of any significant changes in the information provided in this application which occur after the date of submission of the application.</i></p> <p><i>I/We understand that misleading or attempting to mislead representatives of FMDQ during the application process shall render this application null and void.</i></p>	
<p>Signature:</p> 	

¹ Authorised Representatives are persons authorised by the Member to perform activities on its behalf on the Exchange

FEE PAYMENT INFORMATION

Accepted Modes of Payment: Cheque/Bank Draft/Online Transfer

All cheques are payable to **"FMDQ OTC PLC"**

Account Details:

Bank	Access Bank PLC
Account Name	FMDQ OTC PLC
Account Number	0689977404
Sort Code	044151106

In the case of online transfers, kindly specify payment reference in the format below:

"FMDQ/(Category of Membership)/(Company or Individual Name)/(Payment Date: DD.MM.YYYY)"

For example: FMDQ/FX Trading (Corporate)/OANDO/10.07.2018

THOMSON REUTERS REQUIREMENTS - TICK IF PROVIDED

Completed Thomson Reuters Contract/Form	
Certificate of Incorporation	
Memorandum and Articles of Association or other equivalent documentation	
Particulars of Directors or other equivalent documentation (e.g. CAC Form 7 for Nigerian companies)	
Evidence of regulatory status from a financial regulator	
Certified company ownership structure	
Names and contact details of the FX traders	
Public IP address and Internet Service Provider (ISP)	
Thomson Reuters account ID (for existing Thomson Reuters clients)	

In addition to the above, please note the following:

a. Document Certification

Personal Identity documents and Corporate documents need to be certified by a solicitor (lawyer), a qualified accountant or a notary public, using the following language: *"I, [name of certifying person] a [position] have seen the original document from which this copy was produced and I can confirm that it appears to me to be a genuine document."* [signed & dated]

b. Document Format

All documents must be current and identity documents must be valid

All Thomson Reuters application requirements MUST BE forwarded via email to samuel.ngadi@thomsonreuters.com and uche.nneji@thomsonreuters.com

FMDQ OTC PLC FX TRADING CORPORATES AGREEMENT

We/I _____ on this _____ day of _____ 20__ hereby agree to be an FX Trading Corporates on FMDQ OTC PLC (“FMDQ” or the “OTC Exchange”), a Securities Exchange organised under the laws of the Federal Republic of Nigeria (together, the “Parties”), subject to the terms and conditions below.

AS AN FX TRADING CORPORATES OF FMDQ WE UNDERTAKE TO:

1. Abide by all the FMDQ Rules, Guidelines, Bulletins and such other regulation as FMDQ may introduce to the market from time to time
2. Abide by the provisions of the Foreign Exchange (Monitoring and Miscellaneous Provisions) Act 2004 and all Circulars issued by the Central Bank of Nigeria (CBN) from time to time
3. Use the FMDQ Thomson Reuters FX Trading System strictly for the purpose of engaging in FX trading activities with CBN FX Authorised Dealers
4. Ensure that all our Authorised Representatives² act in good faith in respect of all our affairs with FMDQ and in relation to all activities as a Member on FMDQ
5. Notify FMDQ immediately in writing of any material changes to the information submitted during the course of our membership application
6. Notify FMDQ of any facts or circumstances which may affect the legal form of our organisation and any such occurrences that may affect our FX Trading Corporates membership status on FMDQ
7. Promptly pay the annual subscription fee and other charges, where applicable, as may be prescribed by the OTC Exchange

² Authorised Representatives are persons authorised by the Member to make representations to FMDQ on its behalf in respect of its membership on the FMDQ platform

WE UNDERSTAND THAT:

8. This membership category of FMDQ only grants the FX market Authorised participants access to the FMDQ Thomson Reuters FX Trading System strictly for the purpose of engaging in FX trading activities with CBN FX Authorised Dealers
9. This membership category does not confer upon us participatory rights as a full Member of the Exchange, but only allows us to engage in FX trading as outlined above

The Parties have caused their authorised signatories to execute this Agreement in the manner below, the day and year first above written.

Signed for and on behalf of
FMDQ OTC PLC:

Authorised Signatory

Name:

Designation:

Authorised Signatory

Name:

Designation:

Signed for and on behalf of
the aforementioned **FX Trading (Corporate):**

Authorised Signatory

Name:

Designation:

Authorised Signatory

Name:

Designation: